



Atty. Docket No. JP920000133US1 (590.074)

FERENCE & ASSOCIATES Information Disclosure Statement

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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: MORI et al. : 09/904,147

Group Art: not yet assigned Examiner: not yet assigned

Serial No. Filed

: July 11, 2001

For

Technologice Technologica Techn : Systems and Methods for Word Prediction and Speech Recognition

HON. ASSISANT COMMISSIONER FOR PATENTS Washington, D.C. 20231

Sir:

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98. The items listed on Form PTO-1449, a copy of which is enclosed, may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The submission of this Information Disclosure Statement shall not be construed as a representation that a search has been made or that no other items than those identified herein exist. The Examiner is respectfully requested to fully consider the items and to independently ascertain their teaching.

1.	[]	For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English
		language, an English language translation of that item or a portion thereof or a concise explanation of the
		relevance of that item is enclosed:

A:

B:

- 2. [] For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application.
- 3. [] Any copy of the items listed on the enclosed copy of Form PTO-1449 that is not enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office in the prior [] Continuation, [] Divisional or [] Continuation-In-Part application under 37 C.F.R. § 1.60, U.S. Serial No. ______, filed ______.
- 4. [X] No fee is due under 37 C.F.R. § 1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:

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		[] 37 C.F.R. § 1.97(b)(1), within three months of the filing date of the above-identified application.
		[] 37 C.F.R. § 1.97(b)(2), within three months of the date of entry into the national stage as set forth in § 1.491 in an international application.
		[X] 37 C.F.R. § 1.97(b)(3), before the mailing date of a first Office action on the merits.
5.	[]	No fee is due under 37 C.F.R. § 1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. § 1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action), and is accompanied by one of the verifications pursuant to 37 C.F.R. § 1.97(e) set forth in paragraph 9 below.
6.	[]	A fee is due under 37 C.F.R. § 1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. § 1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a notice of allowance (where there has been no prior final action):
		[] A check in the amount of \$240.00 is enclosed in payment of the fee.
7.	[]	A fee is due under 37 C.F.R. § 1.17(i) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. § 1.97(d), after the mailing date of a final action or a notice of allowance, whichever comes first, but before payment of the issue fee, and is accompanied by:
		a. one of the certifications pursuant to 37 C.F.R. § 1.97(e) set forth in paragraph 9 below; and
		b. the attached petition requesting consideration of this Information Disclosure Statement; and
		c. the fee due under 37 C.F.R. § 1.17(i) which is paid as set forth in paragraph 10 below.
8.	[]	A fee is due under 37 C.F.R. § 1.17(i) for this Information Disclosure Statement since it is being filed in compliance with:
		a. [] 37 C.F.R. §1.313(b)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition To Withdraw Application From Issue.
		b. [] 37 C.F.R. § 1.313(b)(5), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition To Withdraw Application From Issue.
		c. [] The fee due under 37 C.F.R. § 1.17(i) is paid as set forth in paragraph 10 below.
9.	[]	I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

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- [] I hereby certify that no item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- 10. [] A check in the amount of \$130.00 is enclosed in payment of the fee due under 37 C.F.R. § 1.17(i).

Respectfully submitted,

FERENCE & ASSOCIATES

Dated: 9 October 2001

Stanley D. Ference III Registration No. 33,879

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CERTIFICATE OF MAILING

I hereby certify that this correspondence and any documents referred to as enclosed therewith are being deposited with the United States Postal Service as first class mail, addressed to the Assisyant Commissioner for Patents, Washington, DC 20231 on 9 October 2001.

Stanley D. Ference III
Reg. No. 33,879

9 October 2001

Date of Signature

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Please type a plus sign (+) inside this box + + Approved for use through 10/31/99. OMB 0651-0031
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Substitute	e for form 144	9B/PTO		Complete if Known	
		Application Number	09/904,147		
INFO	<u></u>		Filing Date	July 11, 2001	
STA			First Named Inventor	Shinsuke MORI, et al.	
	. –		AI I LIOAIII	Group Art Unit	Unassigned
	(use as n	nany sheets	as necessary)	Examiner Name	Unassigned
Sheet	1	of	1	Attorney Docket Number	JP920000133US1

Examiner Cite No.1 item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	<u> </u>	- , ,	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS
Trans. Vol. PAMI-5, Nol 2, March 1983 T.J. SCHULT, "Tranparente Trivialitaten; Cyc-Wissensbasis in WWW", c't, 1996, Vol. 10			
T.J. SCHULT, "Tranparente Trivialitaten; Cyc-Wissensbasis in WWW", c't, 1996, Vol. 10	yC184		L.R. BAHL, et al., "A Maximum Likelihood Approach to Continuous Speech Recognition," IEEE Trans. Vol. PAMI-5, Nol 2, March 1983
Respondent Contraction of the Co			T.J. SCHULT, "Tranparente Trivialitaten; Cyc-Wissensbasis in WWW", c't, 1996, Vol. 10, pp. 118-121.
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			Center Con
Examiner Date	Examine	er	Date

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.



^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.